

12/20/01
JC962 U. S. PTO

12-31-01 A

Please type a plus sign (+) inside this box

PTO/SB/05 (08-00)
Approved for use through 10/31/2002 OMB 0651-0032

U. S. Patent and Trademark Office, U. S. DEPARTMENT OF COMMERCE

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UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. Applicant claims small entity status.
See 37 CFR 1.27.

3. Specification [Total Pages 49]
(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. Drawing(s) (35 U.S.C. 113) [Total Sheets 9]

5. Oath or Declaration [Total Pages 2]

- a. Newly executed (original or copy)
- b. Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 17 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)

6. Application Data Sheet. See 37 CFR 1.76

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP)

of prior application No 09 / 283,808

Prior application information

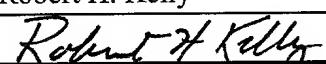
Examiner D. Ton

Group / Art Unit 2661

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

| | | | |
|--|--|-----------|----------------|
| <input type="checkbox"/> Customer Number or Bar Code Label | <input type="checkbox"/> Correspondence address below (Insert Customer No. or Attach bar code label here) | | |
| Name | Docket Clerk | | |
| | P.O. Box 802432 | | |
| Address | | | |
| City | Dallas | State | TX |
| Country | USA | Telephone | (972) 466-7414 |
| Fax | (972) 466-7044 | | |

| | | | |
|-------------------|---|-----------------------------------|--------|
| Name (Print/Type) | Robert H. Kelly | Registration No. (Attorney/Agent) | 33,922 |
| Signature |  | | |
| Date | 10/20/01 | | |

Burden Hour Statement: This form is estimated to take 0 2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

12/20/01

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$ 740.00)

Complete if Known

| | |
|----------------------|-----------|
| Application Number | |
| Filing Date | |
| First Named Inventor | Khiem Le |
| Examiner Name | D. Ton |
| Group Art Unit | 2661 |
| Attorney Docket No. | NC17077C1 |

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

| | |
|------------------------|-----------------------------|
| Deposit Account Number | 50-0208 |
| Deposit Account Name | Novakov Davis & Munck, P.C. |

 Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

 Applicant claims small entity status.
See 37 CFR 1.27
2. Payment Enclosed:
 Check Credit card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Code (\$) | Fee Code (\$) | Fee Description | Fee Paid |
|-------------------|--------------|---------------|---------------|------------------------|----------|
| 101 | 710 | 201 | 355 | Utility filing fee | 740.00 |
| 106 | 320 | 206 | 160 | Design filing fee | |
| 107 | 490 | 207 | 245 | Plant filing fee | |
| 108 | 710 | 208 | 355 | Reissue filing fee | |
| 114 | 150 | 214 | 75 | Provisional filing fee | |
| SUBTOTAL (1) (\$) | | | | 740.00 | |

2. EXTRA CLAIM FEES

| | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|---------------------|----------|
| Total Claims | 9 | -20** = 0 x 18.00 = | 0 |
| Independent Claims | 1 | -3** = 0 x 84.00 = | 0 |
| Multiple Dependent | | | |

| Large Entity | Small Entity | Fee Code (\$) | Fee Code (\$) | Fee Description |
|-------------------|--------------|---------------|---------------|--|
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 80 | 202 | 40 | Independent claims in excess of 3 |
| 104 | 270 | 204 | 135 | Multiple dependent claim, if not paid |
| 109 | 80 | 209 | 40 | ** Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | ** Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2) (\$) | | | | 0 |

*or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

| Large Entity | Small Entity | Fee Code (\$) | Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------------|--------------|---------------|---------------|--|-------------------|
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for ex parte reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 116 | 390 | 216 | 195 | Extension for reply within second month | |
| 117 | 890 | 217 | 445 | Extension for reply within third month | |
| 118 | 1,390 | 218 | 695 | Extension for reply within fourth month | |
| 128 | 1,890 | 228 | 945 | Extension for reply within fifth month | |
| 119 | 310 | 219 | 155 | Notice of Appeal | |
| 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | |
| 121 | 270 | 221 | 135 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 141 | 1,240 | 241 | 620 | Petition to revive - unintentional | |
| 142 | 1,240 | 242 | 620 | Utility issue fee (or reissue) | |
| 143 | 440 | 243 | 220 | Design issue fee | |
| 144 | 600 | 244 | 300 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Petitions related to provisional applications | |
| 126 | 240 | 126 | 240 | Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | 0 |
| 146 | 710 | 246 | 355 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 | 710 | 249 | 355 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 | 710 | 279 | 355 | Request for Continued Examination (RCE) | |
| 169 | 900 | 169 | 900 | Request for expedited examination of a design application | |
| Other fee (specify) | | | | | |
| Reduced by Basic Filing Fee Paid | | | | | SUBTOTAL (3) (\$) |
| | | | | | 0 |

| | | | | |
|-------------------|-----------------|--------------------------------------|-----------|--------------------------|
| SUBMITTED BY | | Complete if applicable | | |
| Name (Print/Type) | Robert H. Kelly | Registration No. (Attorney/Agent) | 33,922 | Telephone (214) 922-9221 |
| Signature | Robert H. Kelly | Date | 20 Dec 01 | |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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NOVAKOV DAVIS & MUNCK

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ATTORNEYS AND
COUNSELORS

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December 19, 2001

Box PATENT APPLICATION
Commissioner for Patents
Washington, D.C. 20231

EXPRESS MAIL RECEIPT No. ET838009068US

Re: U.S. Patent Application for "APPARATUS AND ASSOCIATED METHOD FOR
COMMUNICATING MULTIMEDIA INFORMATION UPON A
COMMUNICATION LINK"
Docket No. NC17077-C1 (NOKI02-00108)

Dear Sir:

Enclosed please find the following documents for filing:

- 1) Copy of Specification* (49 pages);
- 2) Copy of Drawings* (9 pages);
- 3) Copy of Declaration* (2 pages);
- 4) Utility Patent Application Transmittal;
- 5) Fee Transmittal (in duplicate);
- 6) Preliminary Amendment;
- 7) Information Disclosure Statement;
- 8) Form PTO-1449;
- 9) Check in the amount of \$740.00;
- 10) Certificate of Mailing by Express Mail; and
- 11) a postcard receipt.

* The declaration is a copy of the declaration document filed in the priority application of application serial number 09/283,808, filed on April 1, 1999. The copy of the declaration enclosed herewith identifies the attorney docket number to be NC17077-C1 to distinguish the copy of the declaration from the declaration submitted together with the file of the priority application.

Commissioner for Patents
December 19, 2001
Page 2

If any problems arise in the filing of the enclosed documents, please contact Robert H. Kelly at (214) 922-9221. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Novakov Davis Deposit Account No. 50-0208.

Very truly yours,

NOVAKOV DAVIS & MUNCK, P.C.



Robert H. Kelly
Registration No. 33,922

RHK:dt
Enclosures

DOCKET NO.: NC17077C1

PATENT

Client No.: NOKI02-00108

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : KHIEM LE

Serial No. : To be assigned

Filing Date : To be assigned

Prior Serial No. : 09/283,808

Prior Filing Date : April 1, 1999

Prior Examiner : Ton, Dang

For : APPARATUS AND ASSOCIATED METHOD FOR
COMMUNICATING MULTIMEDIA INFORMATION UPON A
COMMUNICATION LINK

Box PATENT APPLICATION

Commissioner of Patents

Washington, D. C. 20231

CERTIFICATE OF MAILING BY EXPRESS MAIL

Sir:

The undersigned hereby certifies that the following documents:

- 1) Preliminary Amendment
- 2) Copy of Specification (49 pages) *
- 3) Drawings (9 pages)*
- 4) Declaration (2 pages)*
- 5) Check in the amount of \$740.00
- 6) Utility Transmittal Letter
- 7) Fee Transmittal (in duplicate)
- 8) Information Disclosure Statement
- 9) Form PTO-1449
- 10) Cover Letter
- 11) Postcard Receipt

*as filed in Application S/N 09/283,808

relating to the above application, were deposited as "Express Mail", Mailing Label No. ET838009068US with the United States Postal Service, addressed to Box Patent Application, Commissioner for Patents, Washington, D.C. 20231, on this 20th day of December, 2001.

Date: 20 Dec 01

Diane Taylor
Mailer

Date: 20 Dec 01

Robert H. Kelly
Robert H. Kelly, Reg. No. 33,922

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